State of New York Commission on Judicial Conduct

In the Matter of the Proceeding Pursuant to Section 44, subdivision 4, of the Judiciary Law in Relation to

PHILIP DROLLETTE,

Determination

a Justice of the Plattsburgh Town Court, Clinton County.

BEFORE: Mrs. Gene Robb, Chairwoman

Honorable Fritz W. Alexander, II

David Bromberg

Honorable Richard J. Cardamone

Dolores DelBello Michael M. Kirsch William V. Maggipinto Honorable Isaac Rubin

Honorable Felice K. Shea Carroll L. Wainwright, Jr.

Respondent, Philip Drollette, a justice of the Town Court of Plattsburgh, Clinton County, was served with a Formal Written Complaint dated January 26, 1979, setting forth 12 charges of misconduct relating to the improper assertion of influence in traffic cases. Respondent filed an amended answer dated April 17, 1979.

By notice of motion dated July 31, 1979, the administrator of the Commission moved for summary determination, pursuant to Section 7000.6(c) of the Commission's rules (22 NYCRR 7000.6[c]). Respondent did not oppose the motion. The Commission granted the motion on August 16, 1979, deemed respondent's misconduct established with respect to all 12 charges in the Formal Written Complaint,

and set a date for oral argument on the issue of an appropriate sanction. The administrator submitted a memorandum in lieu of oral argument. Respondent waived oral argument and submitted a letter on sanction.

The Commission considered the record in this proceeding on September 27, 1979, and upon that record finds the following facts.

- 1. As to Charge I, on September 29, 1975, respondent sent a letter to Justice Andre Bergeron of the Town Court of Lewis, seeking special consideration on behalf of the defendant in People v. Mary O. Lord, a case then pending before Judge Bergeron.
- 2. As to Charge II, on April 5, 1976, respondent sent a letter to Justice Andre Bergeron of the Town Court of Lewis, seeking special consideration on behalf of the defendant in People v. Edmour K. Steady, a case then pending before Judge Bergeron.
- 3. As to Charge III, on April 4, 1974, respondent sent a letter to Justice Robert Radloff of the Town Court of Lake George, seeking special consideration on behalf of the defendant in People v. Raymond A. Rabideau, a case then pending before Judge Radloff.
- 4. As to Charge IV, on July 8, 1975, respondent sent a letter to Justice Robert Radloff of the Town Court of Lake George, seeking special consideration on behalf of the defendant in People v. Robert V. St. Louis, a case then pending before Judge Radloff.

As to Charge V, on October 12, 1976, respondent sent a letter to Justice Robert Radloff of the Town Court of Lake George, seeking special consideration on behalf of the defendant in People v. Wayne M. Bressette, a case then pending before Judge Radloff. 6. As to Charge VI, on December 2, 1974, respondent sent a letter to Justice James Davidson of the Town Court of Queensbury, seeking special consideration on behalf of the defendant in People v. Hubert S. Senecal, a case then pending before Judge Davidson. 7. As to Charge VII, on January 23, 1975, respondent sent a letter to Justice James Davidson of the Town Court of Queensbury, seeking special consideration on behalf of the defendant in People v. Robert F. Moore, a case then pending before Judge Davidson. 8. As to Charge VIII, on December 1, 1975, respondent sent a letter to Justice James Davidson of the Town Court of Queensbury, seeking special consideration on behalf of the defendant in People v. William A. Oswald, a case then pending

- before Judge Davidson.
- 9. As to Charge IX, on June 14, 1975, respondent sent a letter to Justice James Corkland of the Town Court of Lake George, seeking special consideration on behalf of the defendant in People v. Roland Saucier, a case then pending before Judge Corkland.

10. As to Charge X, on February 10, 1976, respondent sent a letter to Justice James Corkland of the Town Court of Lake George, seeking special consideration on behalf of the defendant in People v. Leonard O'Sullivan, a case then pending before Judge Corkland.

11. As to Charge XI, on December 21, 1974, respondent sent a letter to Justice John Carusone of the Town Court of Queensbury, seeking special consideration on behalf of the defendant in People v. Ralph J. Garrow, a case then pending before Judge Carusone.

12. As to Charge XII, on March 31, 1975, respondent, or someone at his request, communicated with Justice Karl Griebsch of the Village Court of Saranac Lake, seeking special consideration on behalf of the defendant in People v. Benjamin King, a case then pending before Judge Griebsch.

Upon the foregoing findings of fact, the Commission concludes as a matter of law that respondent violated Sections 33.1, 33.2, 33.3(a)(l) and 33.3(a)(4) of the Rules Governing Judicial Conduct and Canons 1, 2 and 3A of the Code of Judicial Conduct. Charges I through XII of the Formal Written Complaint are sustained, and respondent's misconduct is thereby established.

It is improper for a judge to seek to persuade another judge, on the basis of personal or other special influence, to alter or dismiss a traffic ticket. A judge who accedes to such a request is guilty of favoritism, as is the judge who made the request. By making ex parte requests of other judges for favorable

dispositions for the defendants in traffic cases, respondent violated the rules enumerated above, which read in part as follows:

Every judge...shall himself observe, high standards of conduct so that the integrity and independence of the judiciary may be preserved. [Section 33.1]

A judge shall respect and comply with the law and shall conduct himself at all times in a manner that promotes public confidence in the integrity and impartiality of the judiciary. [Section 33.2(a)]

No judge shall allow his family, social or other relationships to influence his judicial conduct or judgment. [Section 33.2(b)]

No judge...shall convey or permit others to convey the impression that they are in a special position to influence him....
[Section 33.2(c)]

A judge shall be faithful to the law and maintain professional competence in it.... [Section 33.3(a)(1)]

A judge shall...except as authorized by law, neither initiate nor consider ex parte or other communications concerning a pending or impending proceedings.... [Section 33.3(a)(4)]

Courts in this state and other jurisdictions have found that favoritism is serious judicial misconduct and that ticket-fixing is a form of favoritism.

In <u>Matter of Byrne</u>, N.Y.L.J. Apr. 20, 1978, p. 5 (Ct. on the Judiciary, Apr. 18, 1978), the court declared that a "judicial officer who accords or requests special treatment or favoritism to a defendant in his court or another judge's court is guilty of <u>malum in se</u> misconduct constituting cause for discipline." In that case, ticket-fixing was equated with favoritism, which the

court stated was "wrong and has always been wrong." Id.

By reason of the foregoing, the Commission unanimously determines that the appropriate sanction is censure.

CERTIFICATION

It is certified that the foregoing is the determination of the State Commission on Judicial Conduct, containing the findings of fact and conclusions of law required by Section 44, subdivision 7, of the Judiciary Law.

> Robb, lemor T. New York State Commission on

Judicial Conduct

Dated: December 12, 1979

Albany, New York

APPEARANCES:

Holcombe & Dame (By Kenneth H. Holcombe) for Respondent

Gerald Stern for the Commission (Judith Siegel-Baum, Of Counsel)